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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Larry Scheinberg et al.      Art Unit : Unknown  
Serial No. : 09/667,894      Examiner : Unknown  
Filed : September 22, 2000  
Title : CLEARING SYSTEM FOR AN ELECTRONIC-BASED MARKET

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/4/2001

Denis G. Maloney  
Denis G. Maloney  
Reg. No. 29,670

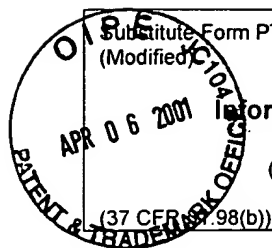
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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 Substitute Form PTO-1449  
 (Modified)

 U.S. Department of Commerce  
 Patent and Trademark Office

 Attorney's Docket No.  
 11847-002001

 Application No.  
 09/667,894

**Information Disclosure Statement  
 by Applicant**

(Use several sheets if necessary)

 Applicant  
 Larry Scheinberg et al.

 Filing Date  
 September 22, 2000

Group Art Unit

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,903,201	2-20-90	Wagner	364	408	
	AB	4,980,826	12-25-90	Wagner	364	408	
	AC	5,832,462	11-3-98	Midorikawa et al.	705	35	
	AD	6,014,627	1-11-00	Togher et al.	705	1	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	"Clearing 21", Press Office Archives, <a href="http://www.matif.fr/new/new_press193.htm">http://www.matif.fr/new/new_press193.htm</a> ; December 1998, pps. 1-14
	AR	"Screen-Based Trading Systems For Derivative Products", International Organization of Securities Commissions, Report of the Technical Committee, June 1990, pps. 1-52.
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.